



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shaharyar Khan

Serial No.: 10/561,829

Art Unit: Not Yet Assigned

I.A.

Filing Date: June 25, 2004

Examiner: Not Yet Assigned

For: *MODIFIED VECTORS FOR ORGANELLE TRANSFECTION*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §1.56 and 37 C.F.R. §1.97, Applicant submits a Supplemental Information Disclosure Statement, including three (3) pages of Form PTO-1449 and copies of seventeen (17) documents cited therein. Pursuant to the waiver in the notice entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications Filed After June 30, 2003" published on August 5, 2003 in 1273 OG 55, copies of U.S Patents and Published Applications are not enclosed. Copies will be provided upon request, however.

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) prior to a first Office Action on the merits. It is believed that no fee is required with this submission. However, should a fee be required, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-1329.

### U.S. Patents

| <u>Number</u> | <u>Issue Date</u> | <u>Patentee</u>  | <u>Class/Subclass</u> |
|---------------|-------------------|------------------|-----------------------|
| 5,831,020     | 11-03-1998        | Citovsky         | 530/350               |
| 6,506,559     | 01-14-2003        | Fire, et al      | 435/6                 |
| 6,531,647     | 03-11-2003        | Baulcombe, et al | 800/278               |
| 6,759,574     | 07-06-2004        | Ream, Jr., et al | 800/301               |

### U.S. Patent Applications

| <u>Number</u> | <u>Publication Date</u> | <u>Applicant</u> | <u>Class/Subclass</u> |
|---------------|-------------------------|------------------|-----------------------|
| 2002/0086356  | 07-04-2002              | Tuschl, et al    | 435/69.1              |
| 2005/0147993  | 07-07-2005              | Khan             | 530/350               |
| 2006/0211647  | 09-21-2006              | Khan             | 435/320.100           |

### Foreign Documents

| <u>Number</u> | <u>Publication Date</u> | <u>Patentee</u>   | <u>Country</u> |
|---------------|-------------------------|---|----------------|
| WO 98/46271   | 10-22-1998              | Stratogene, Inc.  | PCT            |
| WO 00/19993   | 04-13-2000              | Brownlee  | PCT            |
| WO 01/75164   | 10-11-2001              | Whitehead Biomedical Institute;<br>Max Planck Gesellschaft;<br>Massachusetts Inst. Technology;<br>Univ. Massachusetts Medical Ct. | PCT            |
| WO 03/052067  | 06-26-2003              | University of Virginia Patent Found.  | PCT            |

### Publications

ALAM, et al., "Human mitochondrial DNA is packaged with TFAM", *Nucleic Acids Res.*, 31(6):1640-5 (2003).

CLINE and HENRY "Import and routing of nucleus-encoded chloroplast proteins", *Annu. Rev. Cell Dev. Biol.*, 12:1-26 (1996).

DEL GAIZO and PAYNE, "A novel TAT-mitochondrial signal sequence fusion protein is processed, stays in mitochondria, and crosses the placenta", *Mol. Ther.*, 7(6):720-30 (2003).

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DEROSSI, et al., "The third helix of the Antennapedia homeodomain translocates through biological membranes", *J. Biol. Chem.*, 269(14):10444-50 (1994).

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FRANKEL and PABO, "Cellular uptake of the tat protein from human immunodeficiency virus", *Cell*, 55(6):1189-93 (1988).

HO, et al., "Synthetic protein transduction domains: enhanced transduction potential *in vitro* and *in vivo*", *Cancer Res.*, 61(2):474-7 (2001).

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WEISSIG, "Mitochondrial pharmaceuticals", *Mitochondrion*, 3(4):229-44 (2004).

WENDER, et al., "The design, synthesis, and evaluation of molecules that enable or enhance cellular uptake: peptoid molecular transporters", *Proc. Natl. Acad. Sci. U.S.A.*, 97(24):13003-8 (2000).

U.S.S.N.: 10/561,829  
I.A. Date: June 25, 2004  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

### Remarks

This statement should not be interpreted as a representation that an exhaustive search has been conducted or that no better art exists. Moreover, Applicant invites the Examiner to make an independent evaluation of the cited art to determine its relevance to the subject matter of the present application. Applicant is of the opinion that his claims patentably distinguish over the art referred to herein, either alone or in combination.

Respectfully submitted,



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Charles Vorndran  
Reg. No. 45,315

Dated: October 23, 2006

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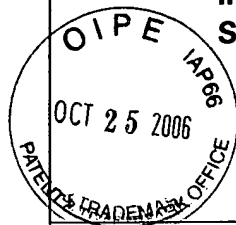


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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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|------------------------|------------------|
| Application Number     | 10/561,829       |
| I.A. Filing Date       | June 25, 2004    |
| First Named Inventor   | Shaharyar Khan   |
| Group Art Unit         | Not Yet Assigned |
| Examiner Name          | Not Yet Assigned |
| Attorney Docket Number | GNC 0001         |

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## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | US Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--------------------|-----------------------------------|---|-----------------------------------|---|
|                    |                       | Number             | Kind Code <sup>2</sup> (if known) |   |                                   |   |
|                    |                       | 5,831,020          |                                   | Citovsky  | 11-03-1998                        |   |
|                    |                       | 6,506,559          |                                   | Fire, et al                                     | 01-14-2003                        |   |
|                    |                       | 6,531,647          |                                   | Baulcombe, et al                                | 03-11-2003                        |   |
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|                    |                       | 2002/0086356       |                                   | Tuschi, et al                                   | 07-04-2002                        |   |
|                    |                       | 2005/0147993       |                                   | Khan  | 07-07-2005                        |   |
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## FOREIGN PATENT DOCUMENTS

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|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
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|                    |                       | PCT                     | WO 98/46271         |                                   | Strategene, Inc.  | 10-22-1998                                       |   |                |
|                    |                       | PCT                     | WO 00/19993         |                                   | Brownlee  | 04-13-2000                                       |   |                |
|                    |                       | PCT                     | WO 01/75164         |                                   | Whitehead Biomedical Institute; Max Planck Gesellschaft; Massachusetts Institute of Technology; University of Massachusetts Medical Ct. | 10-11-2001                                       |   |                |
|                    |                       | PCT                     | WO 03/052067        |                                   | University of Virginia Patent Found.  | 06-26-2003                                       |   |                |

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<sup>1</sup> Unique citation designation number <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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| Attorney Docket Number | GNC 0001         |

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## OTHER ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
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